

PATENT
Attorney Docket No. 403033/HOEGER
Client Reference No. A100 655 g

COMBINED DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION
AND POWER OF ATTORNEY

- ☐ Declaration Submitted with Initial Filing OR
☒ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16(e)) required)

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name. I believe I am the original, first, and sole inventor (*if only one name is listed below*) or an original, first, and joint inventor (*if plural names are listed below*) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

RETAINING RING FOR HOLDING SEMICONDUCTOR WAFERS IN A CHEMICAL MECHANICAL
POLISHING APPARATUS

the specification of which:

- ☐ is attached hereto.
☒ was filed on **April 1, 2004** as Application No. **10/814,590**.
☐ was filed by Express Mail No. _____ as *Application No. not known yet*, and was amended on
(*if applicable*).
☐ was filed on _____ as PCT International Application No. PCT/_____ and was amended on
(*if any*).

I state that I have reviewed and understand the contents of the specification identified above, including the claim(s), as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I claim foreign priority benefits under 35 USC 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application(s) designating at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application(s) for patent, utility model, design registration, inventor's or plant breeder's rights certificate(s), or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter and having a filing date before that of the application(s) from which the benefit of priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Claimed		Certified Copy Attached?	
			YES	NO	YES	NO
102 47 180 .0	Germany	10/02/2002	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
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In re Appln. of ENSINGER et al.
Attorney Docket No. 10/814,590

As a named inventor, I hereby appoint Leydig, Voit & Mayer to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: Customer Number 23548.

23548

I further direct that correspondence concerning this application be directed to Leydig, Voit & Mayer: Customer Number 23548.

23548

I declare that all statements made herein of my own knowledge are true, that all statements made on information and belief are believed to be true, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor: Wilfried ENSINGER

Inventor's signature Wilfried Ensinger

Date November 19, 2009

Country of Citizenship: Germany

Residence: Nufringen, Germany
(city/state or country)

Post Office Address: Rudolf-Diesel-Str. 8
Nufringen D-71154 Germany

Full name of second joint inventor, if any: Lawrence G. RESAVAGE

Inventor's signature Lawrence G. Resavage

Date November 16, 2009

Country of Citizenship: US

Residence: Bethel Park, Pennsylvania
(city/state or country)

Post Office Address: 523 Hollydale Drive
Bethel Park, Pennsylvania 15102

Declaration (Revised 7/18/03)



PATENT
Attorney Docket No. 403033/HOEGGER
Client Reference No. A 100 655 g

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of:

ENSINGER et al.

Application No. 10/814,590

Filed: April 1, 2004

Art Unit: 3723

Examiner: Unassigned

For: RETAINING RING FOR HOLDING
SEMICONDUCTOR WAFERS IN A
CHEMICAL MECHANICAL POLISHING
APPARATUS

WRITTEN CONSENT OF THE ASSIGNEE TO ADD AN INVENTOR

Commissioner for Patents
U.S. Patent and Trademark Office
220 20th Street S. Customer Window, Mail Stop
Crystal Plaza Two, Lobby, Room 1B03
Arlington, VA 22202

Dear Sir:

As set forth in the attached statement under 37 CFR 3.73(b), Ensinger Kunststofftechnologie GbR is the assignee of the entire right, title, and interest in the patent application identified above by virtue of an assignment from the inventor(s) of the patent application identified above.

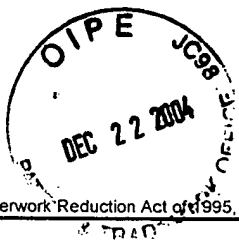
The assignee consents to add Lawrence G. Resavage as an inventor in the above-identified application.

The undersigned is authorized to act on behalf of the assignee.

Wilfried Ensinger
Signature

15. 11. 2004
Date:

Wilfried Ensinger



A 100655 g
PTO/SB/96 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Wilfried Ensinger

Application No./Patent No.: 10/814,590 Filed/Issue Date: April 1, 2004

Entitled: Retaining Ring for Holding Semiconductor Wafers in a Chemical Mechanical Polishing Apparatus

Ensinger Kunststofftechnologie GbR a partnership
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 014996, Frame 0563, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Wilfried Ensinger
Signature

4. Oct. 2004
Date

Wilfried Ensinger
Printed or Typed Name

Telephone Number

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



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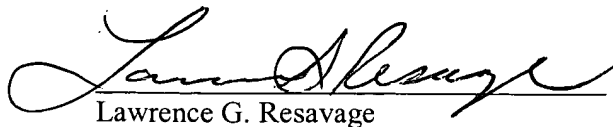
Examiner: Unassigned

For: RETAINING RING FOR HOLDING
SEMICONDUCTOR WAFERS IN A
CHEMICAL MECHANICAL POLISHING
APPARATUS

STATEMENT FROM PERSON BEING ADDED AS INVENTOR

Commissioner for Patents
U.S. Patent and Trademark Office
220 20th Street S. Customer Window, Mail Stop
Crystal Plaza Two, Lobby, Room 1B03
Arlington, VA 22202

I, Lawrence G. Resavage, am being added as an inventor in the above-identified patent application. I hereby state this addition is necessitated by amendment of the claims in the application, and the inventorship error occurred without deceptive intention on my part.



Lawrence G. Resavage

Date: